Title: "Open Environment for Multimodal Interactive Connectivity Visualization and Analysis"

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#### ABSTRACT:

Brain connectivity investigations are becoming increasingly multimodal, and they present challenges for quantitatively characterizing and interactively visualizing data. Here we present a new set of network-based software tools for combining functional and anatomical connectivity from MRI data. The computational tools are available as part of FATCAT, a toolbox that interfaces with AFNI and SUMA for interactive queries and visualization. This includes a novel tractographic "miniprobabilistic" approach to improve streamline tracking in networks. We show how one obtains more robust tracking results for determining white matter connections by utilizing the uncertainty of the estimated DTI parameters and a few Monte Carlo iterations. This allows for thresholding based on the number of connections between target pairs in order to reduce the presence of tracts likely due to noise. In order to assist users in combining data, we describe an interface for navigating and performing queries in 2D and 3D for data defined over voxel, surface, tract, and graph domains. These varied types of information can be visualized simultaneously and the queries performed interactively using SUMA and AFNI. The methods have been designed to increase the user's ability to visualize and combine FMRI and DTI modalities, particularly in the context of single-subject inferences (for example, in deep brain stimulation studies). Finally, we present a multivariate framework for statistically modeling network-based features in group analysis, which can be implemented for both functional and structural studies.

#### INTRODUCTION

Magnetic resonance imaging (MRI) provides several techniques for investigating brain connectivity. In particular, functional MRI (FMRI) and diffusion tensor imaging (DTI) are common modalities for observing aspects of functional gray matter (GM) and structural white matter (WM) properties, respectively. Given the complementary nature of these methods, it is often desirable to combine them in studies, and this has been an increasing trend in both research [Staempfli et al., 2008; Damoiseaux and Grecius, 2009; Grecius et al. 2009; Bennett and Rypma, 2013; Horn et al., 2014; Sui et al., 2014; Zhu et al., 2014] and clinical applications [Kleiser et al, 2010; Pillai, 2010; Zhang et al., 2013; Preti et al., 2014].

The Functional And Tractographic Connectivity Analysis Toolbox (FATCAT) [Taylor and Saad, 2013] was initially designed to facilitate the integration of FMRI and diffusion-based imaging modalities. It contains tools for both task-based and resting state FMRI, as well as for both DTI and HARDI (high angular resolution diffusion imaging), data. FATCAT is publicly available as part of the open source AFNI package [Cox, 1996], and also interfaces directly with the three dimensional (3D) visualization tools of SUMA [Saad et al., 2004; Saad and Reynolds 2012]. While the toolbox can be used to calculate voxelwise quantities, such as various functional connectivity parameters, it also contains functionality to calculate and visualize nonlocal features such as functional correlation matrices, whole brain connectivity maps, tractographic maps and structural connectivity matrices. These network-based features quantify brain structure and function at the highest levels of organization.

Here, we present novel tools to facilitate multimodal, network-based analyses for both individuals and groups. First, we describe a novel "mini-probabilistic" tractography approach, which improves the robustness of streamline tract reconstructions by including DTI parameter uncertainty. Secondly, we discuss interactive visualization features using SUMA and AFNI, allowing the simultaneous display and investigation of DTI and FMRI data. Finally, we present a two-tiered multivariate modeling approach for combining either functional or structural network connectivity with group characteristic data using functions in AFNI and FATCAT. Fig. 1 displays a compact schematic showing the potential roles of the new features (highlighted in bold) in an analysis pipeline that includes functional, diffusion-based structural and group characteristic data.

#### MINI-PROBABILISTIC TRACKING METHODS AND RESULTS

The human data shown in this work were acquired from a control subject of a larger study, which obtained participants from university campuses in Taipei. Participants were enrolled having provided

written, informed consent. The study was approved by the local ethics committee and conducted in accordance with the Declaration of Helsinki. Details of the scanning and acquisition parameters are provided in Taylor and Saad (2013).

#### Mini-probabilistic tracking

Existing methods of DTI- (and HARDI-) based tractography techniques fall mainly into two categories: deterministic [e.g., Conturo et al., 1999; Mori et al., 1999; Basser et al., 2000] and probabilistic [e.g., Parker et al., 2003; Behrens et al., 2003] tracking. In the first case, tracts propagate outward from seed points until some stopping criterion is reached; propagation through a given voxel may, for example, be oriented parallel to the local principal eigenvector [e.g., Mori et al., 1999; Taylor et al., 2012] or to some weighted average of neighboring eigenvectors [e.g., Lazar et al., 2003]. The deterministic output is a set of tracts embedded within the brain volume—that is, a 1D sequence of points, each of which has 3 spatial coordinates and possibly attached properties such as DTI parameter values. While useful in many applications, these reconstruction methods either ignore the noise that is inherently present in the acquired data or introduce smoothing to try to reduce its impact. Noise introduces errors into DTI-or HARDI-model fits, and as a result tracts that are propagated purely deterministically become susceptible to a sizeable error accumulation (both false negatives and false positives).

In contrast to deterministic approaches, probabilistic methods typically perform Monte Carlo simulations of repeated tracking through distributions (e.g., estimated using Bayesian [Behrens et al., 2007] or statistical resampling [Jones, 2003; Whitcher et al. 2008; Taylor and Saad, 2013] techniques) of voxel DTI parameter values. The end result of probabilistic tracking is an unordered set of voxels in 3D space through which a large fraction of generated tracts have passed, and this region is interpreted as comprising the subvolume of the brain with the highest likelihood of containing WM connections of interest. While the probabilistic methods account for noise-induced diffusion model uncertainty, they are often relatively slow and impractical for interactive investigation. Moreover, the final voxel maps that are produced lack the ordered, sequential structure of the linear tract results, which are often useful for intersubject comparisons (e.g., see [Colby et al., 2012; Yeatman et al., 2012]).

Here, we propose a novel hybrid tractographic approach called "mini-probabilistic" tracking to combine benefits of both fully probabilistic and deterministic techniques. The new method makes use of the voxel-wise uncertainty of DTI parameters in the tracking process, performing a small number of Monte Carlo simulations through perturbed tensor estimates. The ordered tract structure remains intact, but more robust results are obtained by including the probabilistic distribution of tensor values during tract propagation. Similar to fully probabilistic approaches, the repeated tracking reinforces the more

likely locations of tract bundles<sup>1</sup>, while noise-driven outliers tend to be isolated. However, the miniprobabilistic method is significantly faster than the full approach, as it generally uses several orders of magnitude fewer tracking iterations.

A comparative example of approaches is shown in Fig. 2. Tracking was performed on a network of target regions (here, corresponding to an adult subject's default mode resting state network, from data available in the FATCAT demo<sup>2</sup>) using AND-logic with FATCAT's 3dTrackID function in three separate modes: (A) deterministic (DET), (B) mini-probabilistic (MINIP), and (C) (fully) probabilistic (PROB). The same basic tract propagation criteria were used in each case: FA>0.2, turning angle <60 deg and tract length >20 mm. For DET and MINIP tracking, 8 seedpoints per voxel were used, and the latter was performed using five Monte Carlo repetitions. Parameters for PROB tracking were: 5 seedpoints per voxel, 1000 Monte Carlo iterations, and a threshold fraction of 0.05 (so that  $5 \times 1000 \times 0.05 = 250$  tracks/voxel were required to be included in the final WM ROIs). DTI parameter (FA and first eigenvector) uncertainty maps for MINIP and PROB tracking were calculated with FATCAT's 3dDWUncert using 300 iterations [Taylor and Saad, 2013]. While the overall locations of estimated WM ROIs are broadly similar, there are noteworthy differences across the methods.

Arrows in Fig. 2A highlight two deterministic tractographic bundles, each consisting of a single fiber. In Fig. 2B, the mini-probabilistic results show several similar fibers for the laterofrontal connection (yellow arrow) and no additional connections for the anteroposterior fiber (orange arrow) These results suggest that the first is likely to be a bundle connection (as small perturbations produced similar tracks) and the second, an artifact due to noise. A comparison with fully probabilistic volumes in Fig. 2C appears to verify these interpretations. It is worth noting that several of the other fiber bundles in Fig. 2B appear to be more robust than those in Fig. 2A, as well as in greater volumetric agreement with the fully probabilistic results. Additionally, while false positives (relative to PROB) are apparent in both the deterministic and mini-probabilistic results above, they are more visually apparent in the latter case. When performing DET and MINIP tracking with FATCAT's 3dTrackID, bundles between targets having a small number of tracts (as highlighted in Fig. 2) can be removed in order to filter connections that are likely the result of noise; this editing is done by selecting a minimum threshold for the number of tracts required to be found in any bundle.

The extents of the WM regions obtained using deterministic and mini-probabilistic approaches

<sup>1</sup> In FATCAT a "bundle" is defined as a collection of tracts through a single ROI (OR-logic) or those connecting a pair of ROIs (AND-logic).

<sup>&</sup>lt;sup>2</sup> Available from http://afni.nimh.nih.gov/pub/dist/tgz/FATCAT\_DEMO.tgz; download and install from a Unix terminal command line using the AFNI-supplied script "@Install\_FATCAT\_DEMO".

were quantitatively compared with fully probabilistic results using Dice coefficients [Dice, 1945]. Tracking was performed using the same basic parameters described above for the four networks available in the FATCAT Demo set (which includes the network shown in Fig. 2) using AND-logic. Volumetric masks of regions containing tracts were created for all tracking modes. In MINIP mode, the number of additional perturbed repetitions was increased from zero to 24, and in DET mode the number of seeds per voxel was increased to maintain an equivalent number of total initiated tracts per voxel (e.g., while MINIP had one, two, and three repetitions, there were respectively 16, 24, and 32 total seeds per voxel for DET). In both MINIP and DET modes, tract bundles between two targets in the *i*-th repetition were removed if they contained fewer than 4*i* tracts, in order to filter out results likely due to noise.

The Dice coefficients of overlap with the fully probabilistic masks are shown in Fig. 3 for each of the four networks ("Network A" is the same as shown in Fig. 2). In all cases, the Dice values for mini-probabilistic results rise quickly and have a peak greater than (or in one case approximately equal to) the deterministic ones. The DET results increase and reach a plateau, after which adding more seeds per voxel results in very little change in results. In the MINIP case, the addition of Monte Carlo repetitions increased the similarity to the fully probabilistic results quickly, with a peak Dice coefficient typically reached using 5-7 MINIP iterations. The subsequent slow decrease of matching is due to the fact that the PROB method applies a stricter voxelwise thresholding criterion than the bundle threshold. While artifacts are expected to be present in some degree in all tractographic reconstructions [e.g., Thomas et al., 2014], the preceding examples show that the inclusion of tensor uncertainty information greatly increases the reliability and robustness of rendered results, and in the MINIP case with very little computational cost.

#### VISUALIZATION AND INTERACTION

Visualization and user-interaction when analyzing data are important for both research and clinical usage. Having multimodal data complicates these tasks, as there are necessarily several different types and formats of information to combine. Moreover, in modern brain research it is common to have several networks to analyze together even when using only a single modality, such as in resting state FMRI. The important question arises: how can one synthesize, view and explore the information efficiently?

FATCAT interfaces directly with the AFNI and SUMA viewers, which provide 2D and 3D representations, respectively. These viewers also communicate with each other (and with other programs), and together AFNI and SUMA render volumes, surfaces, tracts, outlines, and matrices.

SUMA and AFNI can also be controlled from the command line, making them suitable for navigating large amounts of data by scripting user interface tasks that are to be repeated for each new set of data. Here we highlight the more unique aspects of the software relating to network connectivity.

#### Tract Navigation and InstaTract

Tracts are rendered as segments between the sequential control points generated by 3dTrackID, and the SUMA interface allows for the selection of individual tracts with the mouse pointer. The selected location is automatically relayed to AFNI, causing it to update its own crosshair location. When viewing whole brain tractography results, it is often difficult to distinguish between certain tracts without pruning obstructing ones in order to highlight a feature of interest. In most DTI viewing software (e.g., DTI-Query [Sherbondy et al., 2005], TrackVis [Wang et al. 2007] and 3D Slicer (http://www.slicer.org)). the highlighting masks can be positioned on locations defined by cortical surface models or by voxel grids. Navigation of these masks within the voxel grid is usually constrained to displayed slices, which are determined by the scanner coordinate system, while navigation on surfaces is restricted to the cortical gray matter sheet, even though it is WM being investigated.

Similar highlighting modes exist in SUMA, termed "InstaTract", in which multiple ROIs can be defined over volume or surface grids of arbitrary resolutions and orientations, but the ROIs can additionally be positioned and steered along the tracts themselves. This ability makes it possible for one to "walk" along a tract in 3D, instead of being confined to an arbitrary surface that is not geometrically related to the WM geometry. It also avoids a difficulty present in many software programs that are constrained to 2D slices, since the fibers cross through surfaces at various angles in any given scan, posing a challenge to follow the WM trajectories and to monitor along-tract intersections. The highlighting is carried out along each tract based on the outcome of the user-defined, arbitrary Boolean function of the ROIs, which themselves can currently be spheres or parallelepipeds (boxes). Thus, users may test AND- and OR- logic of connections between simple geometric volumes in InstaTract mode, navigating the shapes along the tracts themselves by clicking or dragging. While such geometrically-defined masks can be interactively repositioned and resized, arbitrary ROI surfaces/masks are stationary, as they represent particular anatomical features.

Furthermore, FATCAT groups the tracts between a given target pair into bundles that can be rendered in different colors and identified with text (i.e., the names or labels of the targets themselves) when the user selects a location on a tract. These features are useful when viewing a complicated set of tracts, for example in the output of a connectome, which has several neighboring targets from whole

brain parcellation. The bundle viewing and selection features are shown in Fig. 4A, where the names of the targets that are connected by the selected bundle (i.e., the bundle in which the cross-hairs have been placed) are displayed at the top of the panel, along with the point location along the tract and the tract number designation. The tracts themselves were created using AND-logic deterministic tracking within a connectome whose targets are cortical regions defined by FreeSurfer [Fischl et al., 2002; Fischl, 2012] parcellation (shown in Fig. 4B).

The names of the volumetric ROIs, output by FreeSurfer (and formatted using @SUMA\_Make\_Spec\_FS) for the data in Fig. 4, are stored as labels within the network data set, and label pairs are attached to each tract bundle. For ROI volumes without accompanying labels, there are AFNI tools (@MakeLabelTable or 3dROIMaker) to pair each integral ROI value with a user-defined string and to create a label table that can be attached to volumes and surface-based data sets. The labels are propagated from ROIs to their tractographic connections when using 3dTrackID, simplifying the visual interpretation and referencing of results in further AFNI and SUMA analyses. The labels are also contained in the output files of structural and functional connectivity matrices, which can be loaded into SUMA (see below, and Fig. 5), as well as viewed and saved directly from the command line, as shown in Fig. 4C (see also Appendix).

## Connectivity Graphs

Connectivity data (such as FMRI correlations from 3dNetCorr, structural WM properties from 3dTrackID, connectivity estimates from structural equation modeling or Granger causality analysis from 1dSVAR) can be rendered as a 3D embedded graph or as a matrix. The dual modes can be rendered simultaneously in separate, linked viewers. In graph mode the connections (i.e., off-diagonal elements of the connectivity matrix or graph edges, equivalently) can be represented by straight line segments or by tract bundles whenever available and desired, as illustrated in the left and right panels, respectively, of Fig. 5. The representation is colored by the value of the connection/element, as per the settings of the data mapping interface.

A displayed connection can also be selected by clicking on it, as demonstrated by the white highlights in Fig. 5. In matrix mode a connection is represented as a colored cell element and can also be selected with a mouse click (again demonstrated in Fig. 5 by the element outlined in white), in order to display information about the connection such as magnitudes and names of the region pair. For dense connectivity graphs, it is useful to consider connections to or from a single node at a time. This operation is done interactively by selecting the target node, either by clicking on its graphical representation (e.g., a ball) or its names in both matrix and 3D graph rendering modes. We also note

that the interface can handle multiple values per connection, such as a time varying connectivity matrix or a set of matrices of different properties.

### Live Computations – Multimodal Data Surfing

Navigating connectivity data is particularly challenging, since it essentially squares the number of values one has to display and select. We described in the previous sections how one could highlight (or, alternatively, prune) tracts, bundles and graph connectivity in order to digest the data for a few target regions at a single time. In this section, we present features for adding resting-state functional connectivity to results in a manner that allows one to perform interactive simultaneous anatomical and functional connectivity queries. The description also serves to illustrate other novel attributes of the software.

We begin by briefly describing InstaCorr, which allows one to perform interactive pattern matching (e.g., by using correlation) between a particular data source (seed) and the rest of the time series data set defined over the same domain (surface or volume). For instance, with the click (or click-and-drag) of the pointer, a new correlation map is computed and displayed using the seed at the pointer's location. The seed location can be set by clicking anywhere in either SUMA or AFNI: computed correlations are displayed in AFNI via InstaCorr and sent to SUMA for display on the surface models (see Fig. 6). The same seed location can be used to set the location of the tract-selecting ROI mask in InstaTract. In order view tracts *within* the surface, while still viewing the functional connectivity *on* the surface, one can pry apart the cortical surfaces. As illustrated in the lower part of Fig. 6, the surfaces retain their coloration and a record of their coordinates in "closed" space during further manipulations.

#### GROUP LEVEL STATISTICAL ANALYSES

#### Multivariate modeling of networks

In this section we describe a two-tiered analysis for investigating the associations of either functional or structural data with predictors of interest across a group. FATCAT functions are used to combine MRI results with group descriptive data and to allow the user to define a model for AFNI's multivariate modeling program, 3dMVM [Chen et al., 2014].

The first stage of analysis takes place at the network level, where multivariate modeling is performed, since it is applicable for analyzing sets of non-independent measures. That is, a group of simultaneous, MRI-derived response variables at each spatial location (e.g., WM properties throughout a set of tracked ROIs, or GM correlations within a functional network) is modeled by multiple

explanatory variables (e.g., sex, age, behavioral measures, and clinical measures). This modeling can be performed independently for each separate response (data) variable such as (depending on the type of data available) Pearson correlation, fractional anisotropy, mean diffusivity, T1 relaxation time, proton density, tracked ROI volume, etc.

Even though the conventional multivariate model precisely characterizes the above hypotheses, its power performance is lackluster in real practice. To address this, the 3dMVM function utilizes an approximation approach within the multivariate platform, which, in conjunction with a few auxiliary tests, can achieve a higher statistical power than conventional multivariate testing while still maintaining well-controlled false positives [Chen et al., in review]. This approach essentially looks for a significant effect at any single or any combination of network connections. Then, if significant effects are found at the network level, the second stage of analysis implements post hoc tests for each connection in order to determine, for example, which individual elements show the strongest relations driving the network effects.

A practical example of this network analysis with 3dMVM is presented in Fig. 7 for the case of a WM study (N.B.: the following example could equivalently be of a GM study, using functional connectivity strength as the 'between target' quantity of interest). The researchers may pose the question: are CASI (cognitive abilities screening assessment) score values in a particular population associated with WM properties, such as FA? This relationship can be investigated while controlling for other explanatory variables, such as age, sex, years of education, etc. First, the researchers generate the targets in each subject's diffusion space for tracking, perhaps by mapping sets of functional GM ROIs. Then, tractography (e.g., mini- or fully-probabilistic) is performed to determine the most likely locations of WM associated with pairs of the targets, producing a set of WM ROIs throughout the network. From each region, one can calculate a representative quantity, such as the mean FA, producing a set of simultaneous measures that represent the WM connections throughout the network. The first step of 3dMVM analysis includes the full set of response variables (i.e., all mean FA values), examining whether the network's WM as a whole is related to CASI scores. If there is a relation found at a nominal significance level (e.g., 0.05), 3dMVM's second step of analysis investigates which region(s) has(ve) the strongest associations (i.e., most statistical significance), using a general linear model (GLM) for each mean FA with the same set of explanatory variables.

The general implementation of this process with FATCAT and AFNI's 3dMVM for any functional or structural network properties is illustrated in Fig. 8. Starting with (A) a network of ROIs for FMRI/DTI analysis, then 3dNetCorr/3dTrackID can be used to calculate (B) functional/structural matrices for each subject in the group. In step (C) the set of connectivity matrices is then combined

with a descriptive "group table" that holds columns of quantitative or categorical variables such as age, sex, psychometric test scores, genetic factors, etc (e.g., a file exported from a spreadsheet, with one row of values per subject). The synthesis of the MRI-derived matrices and the group variables is made using fat\_mvm\_prep.py, which creates a single multivariate table for the group. This program also automatically selects only functional/structural matrix elements that are present for all subjects in the group, as the multivariate modeling software does not currently account for missing data in individuals. This selection procedure may be necessary in FMRI studies, for example, if subjects have different numbers of ROIs in a given network; or in DTI studies, where each region is typically not directly connected to all others and the patterns of estimated connections often exhibit variation across a group.

The user then specifies symbolically (D) a model with explanatory variables from the group table variables. The model may include both quantitative and categorical variables (the latter of which are tested with omnibus *F*-tests), such as age, sex, etc., as well as interactions between the variables. The model of interest is entered as a simple list to the function fat\_mvm\_scripter.py, which builds both the group model as well as the follow-up post hoc tests for each ROI in AFNI's 3dMVM command [Chen et al., 2014]. The result is a script to be executed, producing both (E) network-level and (F) individual connection-based results. This methodology has been demonstrated in a DTI study of infants with prenatal alcohol exposure [Taylor et al., 2015]. The two-tiered analysis was used to locate WM that showed significant association between alcohol exposure and structural properties, as well as to determine which diffusion parameters showed the greatest sensitivity to exposure.

#### Creating latent variables from a group table

Often in brain imaging studies, a variety of supplementary data have been collected for subjects in the group, such as psychometric tests, neurobehavioral scores, and other descriptive characteristics. Many of these factors are often not independent, while also being attributable to a small number of underlying features of interest, such as attention, working memory, emotional state, etc. They may have the additional practical limitation of possessing low individual contrast. In such a scenario, factor analysis can be used to derive a set of "latent variables" from the original, correlated group of factors, reducing the dimensionality of the data based on their covariance structure. FATCAT now includes a command line factor analysis tool to estimate such latent variables from quantitative variables in a group table.

The FATCAT program fat\_lat\_csv.py implements the function factanal() in the package *FAiR* [Goodrich, 2014] of the open source language *R* [R Core Team, 2014]. In order to simplify the final variable structure, the loadings table is rotated using the *varimax* method [Kaiser, 1958]. To facilitate interpreting what features the output latent variables represent, the calculated Thompson scores

[Thompson, 1951] for each factor's variables are also reported. The number of latent variables (i.e., the dimensionality reduction) can be chosen by the user or by a data driven methodology using parallel analysis. The latter is implemented using the *R* function paran() in the package *paran* [Dinno, 2012], which utilizes Horn's method to evaluate factors [Horn, 1965]. By default in fat\_lat\_csv.py, Horn's method is run with a large number of Monte Carlo iterations (5000) and a high centile threshold (99%) in order to reduce bias in the chosen number of components [Glorfeld, 1995]. The estimated latent variables are then included in a new group table for use in the multivariate testing, as described above.

#### DISCUSSION

We have presented several new tools<sup>3</sup> that are available in FATCAT, highlighting their integration with novel functionality and features in AFNI and SUMA. The methods have been designed to increase the user's ability to visualize and combine FMRI and DTI modalities, as well as to easily explore statistical relations in group studies.

Mini-probabilistic tractography has been introduced as an enhancement to purely deterministic tracking in networks. The uncertainty-based procedure improves the detection of connections, while bundle thresholding reduces the likelihood of obtaining tracts sensitive to noise (as does the typical sparsity of target volumes in functional networks). Importantly, these benefits come at very little computational cost (unlike the full probabilistic tracking software), and this method preserves the sequential organization of fiber bundle reconstructions for individual or group analyses.

Mini-probabilistic tracking has also been implemented in exploratory structural analyses, such as placing target ROIs within related WM [Taylor et al., 2015]. Such investigations highlight the utility of interactive data visualization, in particular with the combination of SUMA and AFNI. These visualization methods are directly applicable in a clinical realm to investigate estimated structural maps within the brain. For example, recent studies have utilized tractography reconstructions to guide the placement of electrodes for deep-brain stimulation [Rive-Posse et al., 2014]. The simultaneous viewing of FMRI and DTI data allows for a more detailed representation and exploration of complicated function-structure interactions within the brain. Being able to visually navigate through multi-modal data sets at the same time allows one to develop a deeper understanding of the potential, and the limitations, of the data at hand. This is a crucial exercise for enabling the discovery of otherwise unnoticeable artifacts [Jo et al., 2010] or of striking features of the data [Gotts et al., 2013]. While the

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<sup>&</sup>lt;sup>3</sup> More detailed help documentation for AFNI, FATCAT, SUMA and their combination is available online, including further examples and illustrations: http://afni.nimh.nih.gov/pub/dist/doc/htmldoc/.

multimodal visualizations and explorations in this work have been focused on individual subjects, it should be noted that the InstaCorr interface in AFNI and SUMA can also be used to perform group level, seed-based correlation contrasts interactively, which is beyond the scope of the work presented herein [Cox, 2012; Saad and Reynolds, 2012].

Finally, methods for implementing network-based statistical group analyses were described. FATCAT now contains command line programs for: performing factor analysis to estimate latent variables within a group characteristic table (fat\_lat\_csv.py); combining functional correlation and structural matrices from MRI analyses with group tables of subject data (fat\_mvm\_prep.py); and building a model for multivariate analysis with AFNI's 3dMVM (fat\_mvm\_scripter.py), calculating both the network- and ROI-based statistics. Each function is run with a simple set of options and parameter lists. There are typically several connectivity parameters calculated and output into a single file by FATCAT's 3dNetCorr (e.g., Pearson correlation, Fisher Z-score and partial correlations) and 3dTrackID (e.g., WM volume, FA, MD, L1, RD and number of tracts). For efficient examination of these parameters simultaneously, the FATCAT programs apply the user-defined multivariate models to each individual parameter matrix in parallel and then output the results (both network and post hoc statistics) to a single file.

The presented techniques allow investigation of the network levels of brain functional and structural connectivity in an individual, which are often of interest in neuroimaging studies. In contrast to voxel-based methods, which often have tens of thousand voxels (or more, with the increasing resolution of modern scanning techniques), this approach has the advantage of not requiring such severe corrections for multiple comparisons. As a consequence, these multivariate methods can be used to explore comparisons of properties across networks or among the parameters themselves [Taylor et al., 2015]. The post hoc tests of each matrix element (i.e., target connection) then provide finer resolution for describing relative connectivity-predictor associations on a smaller, local scale.

Further development of FATCAT will include approaches for utilizing along-tract statistics in characterizing individuals' white matter properties. Also, in order to be able to account for missing data in group tables, future versions will utilize linear mixed effects (LME) modeling using AFNI's 3dLME [Chen et al. 2013]. It is also expected that, as has already happened since the initial FATCAT release, further analysis tools will be developed in response to users' requests.

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#### **APPENDIX**

We highlight some of the additional tools and features that are newly available in FATCAT for the processing of DTI and FMRI data. Scripted examples of each are provided in the freely available FATCAT and FAT\_MVM Demos<sup>4</sup>. Most of these features have been developed in order to increase the ease of processing and investigating data, which may have the important consequence of reducing processing mistakes as well as of aiding the understanding of results.

It should first be noted that the originally separate FATCAT functions for deterministic and probabilistic tracking have been combined into a single function (3dTrackID), which can now be operated in three separate modes: DET (deterministic), MINIP (mini-probabilistic; see the introduction in the main text) and PROB (fully probabilistic). Tracking can also now be performed on data sets with multiple propagation directions per voxel, as in high angular resolution diffusion imaging (HARDI) reconstructions. HARDI model fitting is not currently available within FATCAT or AFNI but instead can easily be estimated using other packages such as, e.g., DSI-Studio (http://dsi-studio.labsolver.org; an example processing script is included in the FATCAT Demo), Diffusion Toolkit (Wang et al., 2007) or Dipy [Garyfallidis et al., 2014]. While pre-processing functions for the correction of subject motion, eddy currents and EPI distortions are not available in FATCAT or AFNI, these may be found easily in other software, such as TORTOISE [Pierpaoli et al., 2010], which has an "AFNI format" output option.

The formatting of diffusion gradient information varies across software packages and applications, requiring various conversions between: rows and columns (e.g., when converting from DICOM); gradients and either row-first or diagonal-first tensors (e.g., when using TORTOISE); including b-values in a separate file or in the gradient file (e.g., if using DSI-Studio); including a row of zero-gradients or not (e.g., when using AFNI's 3dDWItoDT); etc. As DWI processing often requires

<sup>&</sup>lt;sup>4</sup> See main text for FATCAT Demo download. The FAT\_MVM Demo is available from http://afni.nimh.nih.gov/pub/dist/tgz/FAT\_MVM\_DEMO.tgz; download and install from terminal command line using "@Install FAT MVM DEMO".

the use of several packages and functions, it is useful to have a flexible command line tool for converting among these formats. In FATCAT 1dDW\_Grad\_o\_Mat is able to convert b-value and b-vector information among the above-listed format options. Additionally, the signs of gradient components can be flipped in order to convert a given scanner coordinate convention to that of the chosen software tool. Due to the DT symmetry properties, this sign change does not affect scalar parameters, but it will cause rotations in the eigenvectors, whose effects are highly noticeable in tractography (see comparisons with various relative gradient flips in Fig. A1). For example, often data acquired using Siemens 3T scanners (Erlangen, Germany) require a sign change in the y-component (via the switch '-flip\_y') before using FATCAT's 3dTrackID (though each center must check their own data, particularly when preprocessing with any given software package). A FATCAT script file, @GradFlipTest, uses whole brain tracking to estimate an appropriate gradient flip for a data set, subject to visual verification.

The DWI data sets may also be processed simultaneously with, and using information contained in, the gradient table.  $1dDW\_Grad\_o\_Mat$  utilizes the *b*-value or -vector information to locate  $b_0$  volumes in the DWI protocol and to average them together to create a single  $b_0$  reference with high signal-to-noise ratio (SNR) ('-proc\_dset' option). Additionally,  $1dDW\_Grad\_o\_Mat$  can compress acquisitions with repeated sets of gradients by averaging those volumes and adjusting the gradient table to match ('-dwi\_comp\_fac' option).

For FMRI processing, 3dNetCorr has been updated to include several features. In addition to Pearson correlation and Fisher Z-transform connectivity matrices, partial correlation matrices can be calculated ('-part\_corr'). Moreover, when calculating connectivity matrices, one can also simultaneously generate whole brain connectivity maps for the average time series of each ROI, either as Pearson correlation ('-ts\_wb\_corr') or Fisher Z-scores ('ts\_wb\_Z'). The output connectivity matrices may also contain ROI labels from a user-defined table (such as from anatomical parcellations). The functional matrices (as well as those output by 3dTrackID) may be viewed as connected graphs in SUMA with the edges colored by the element values (see Fig. 5 in the main text); additionally, these matrices may be viewed and saved in 2D using a new command line tool, fat\_mat\_sel.py, allowing for rapid selection and customization of the figures (Fig. 4C in the main text).

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#### FIGURES:

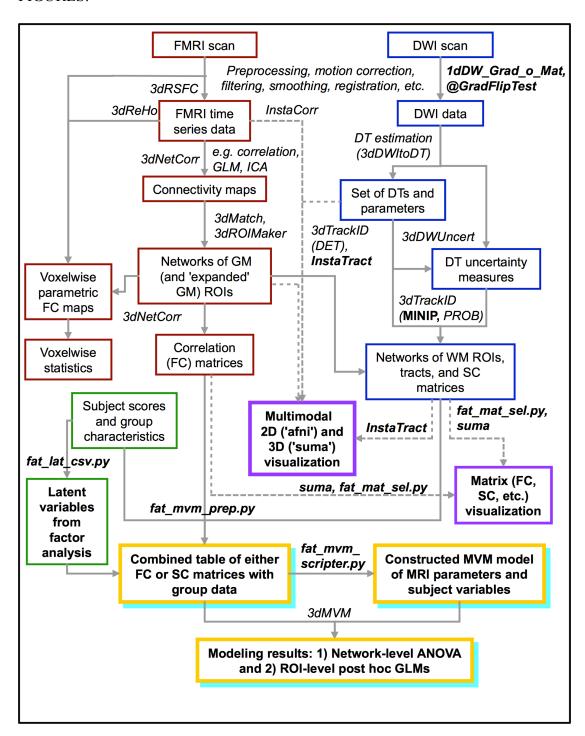


FIGURE 1: An example schematic for combining multimodal MRI data, i.e., functional (red) and diffusion-based structural (blue), with non-MRI group characteristic information (green) using AFNI, SUMA and FATCAT. The novel tools described in this work are highlighted in bold text, and secondary colors reflect the combination of various types of data. The pipeline incorporates command line tools and interactive user visualization, which are both important features of data analysis in MRI.

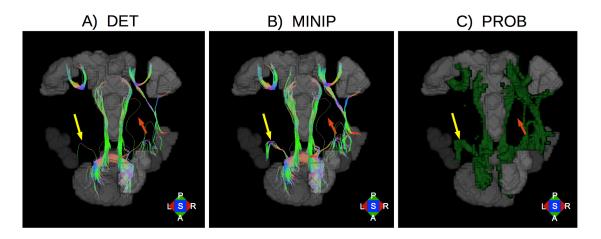


FIGURE 2: Comparison of tractography results of FATCAT's 3dTrackID in different modes: A) deterministic (DET), B) mini-probabilistic (MINIP) and C) fully probabilistic (PROB). AND-logic connections are shown for a network of targets (grey) using either tracts colored by local orientation (in A-B), or probabilistic volumes shown as green surfaces (in C). The yellow and orange arrows highlight single-fiber connections between pairs of targets using DET mode; in the MINIP results, the former appears to be reinforced by the repeated uncertainty-based tracking, while the latter remains an outlier. This is verified by comparison with the PROB results and suggests the increased robustness of the MINIP method compared to DET.

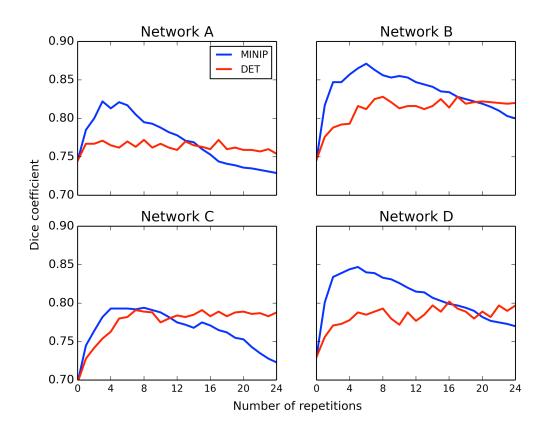


FIGURE 3: Comparison of AND-logic deterministic (DET) and mini-probabilistic (MINIP) tracking with fully probabilistic (PROB) results. Dice coefficients were calculated between regions containing tracts in each of the DET and MINIP modes with those in the PROB mode. The number of Monte Carlo repetitions in the MINIP mode was increased to 24, and the number of seeds per voxel equivalently increased in DET mode. MINIP results rise quickly and have a peak greater than (or equal to, in one case) those of DET, with a maximum occurring at approximately 5-7 repetitions in each of the networks.

#### Functional and Anatomical Connectome

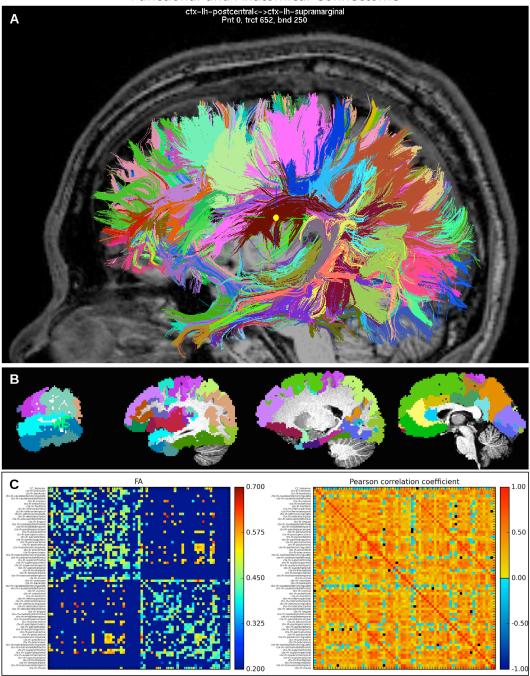


FIGURE 4: Panel A shows tractographic connectome bundles among a set of anatomical cortical regions generated using FreeSurfer parcellation (data available in FATCAT Demo set). Tracts are colored by bundle (i.e., the set of tracts connecting a particular pair of target ROIs), and in panel B the ROIs are shown in color as slices in a simultaneous AFNI viewer. Connectome tractography results are readily generated with a single command line; the target ROIs and tractographic results in this figure can be obtained using the guided FATCAT Demo script

"Do\_11\_RUNdti\_Connectome\_Examp.tcsh", and the presented results were generated in <30 seconds. An example of the labeled bundle selection is shown in panel A, for the location of the yellow cross-hair. Panel C shows labeled structural (FA) and functional (Pearson correlation) matrices for this connectome generated from a command line using fat mat sel.py (see the Appendix).

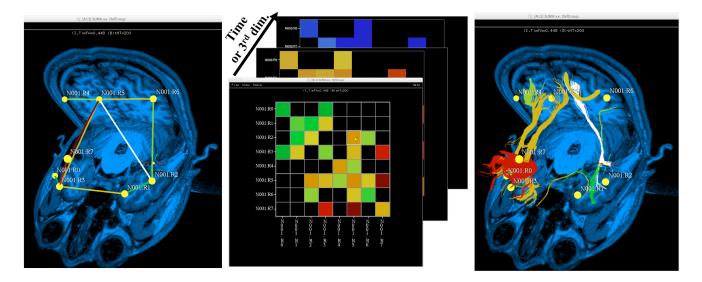


FIGURE 5: Rendering of connectivity matrices in SUMA. The first two panels show the 3D graph and matrix rendering modes of the data. In this mode off-diagonal matrix elements are rendered with straight-line edges whose colors reflect the feature's value in the matrix (middle panel), as per the user's selected settings. The panel on the right shows the same graph but with tractography bundles used to represent the connections, as opposed to segments, and the bundle coloring remains based on the connection value in the matrix. All views support selection of either an individual connection (the equivalent of one element of the matrix) or the set of connections to and from a single node (the equivalent of one row/column of the matrix). Also noted in the middle panel, one can interactively cycle through time-varying matrices or any stack of matrices with the same dimensions. The rendering of data in this figure can be obtained using the guided FATCAT Demo script "Do\_09\_VISdti\_SUMA\_visual\_ex2.tcsh".

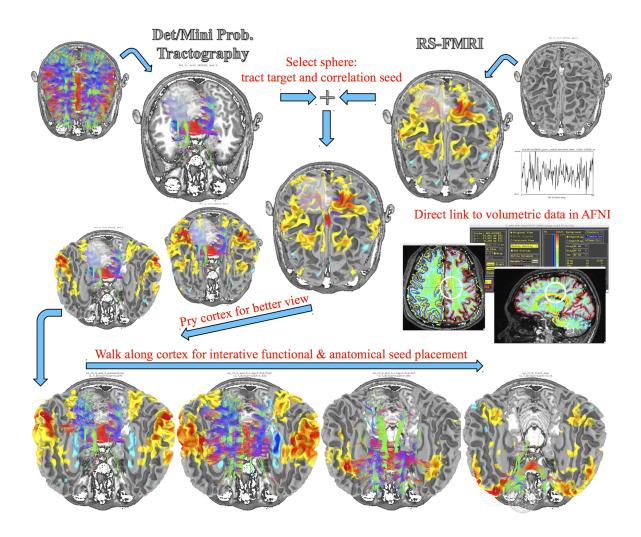


FIGURE 6: An illustration of the process for interactive, single-subject functional and anatomical connectivity queries. (Note that all displayed objects are loaded into the same AFNI and SUMA sessions, and subsets are shown at a time here for clarity.) The top row, left, illustrates whole brain tractography results computed with 3dTrackID and displayed over a single anatomical slice for reference. The top row, right, shows the FreeSurfer-generated cortical models. Users can then select a mask (white sphere) and restrict the displayed tracts to those passing through it (via InstaTract). That same mask can be used to set the seed location for automatically generating a functional connectivity correlation map (via InstaCorr), the results of which are shown in color on the cortical surface. As described in the text, the placement of the seed can be done in the 3D views of SUMA or in the 2D views of AFNI. The results of the anatomical and functional connectivity queries are rendered together in the middle of the figure. Since seeing through the cortical surface is difficult, even when transparency is enabled (not shown here), the user can pry the cortex open with the mouse pointer, better revealing the patterns of anatomical and functional connectivity (lower middle path). The user can then navigate the seed along the pried cortex, or along any other rendered structure, to update the seed placement and to trigger an update of both the anatomical and functional connectivity results (bottom row). The illustrated tasks can be recreated with the guided FATCAT Demo script "@Do 09 VISdti SUMA visual ex3.tcsh".

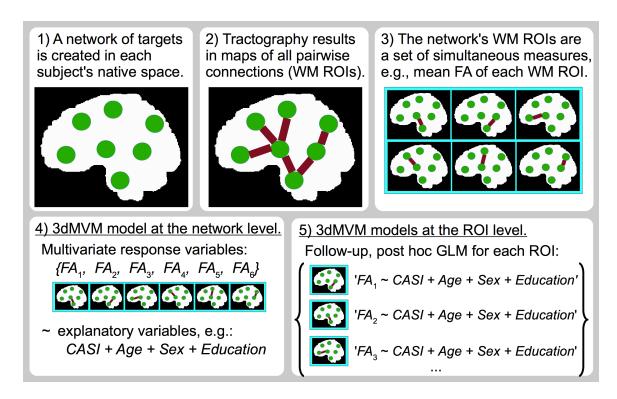
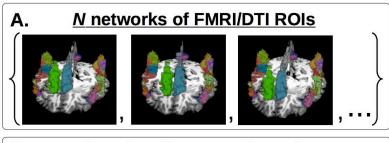
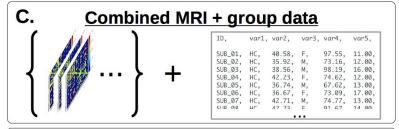


FIGURE 7: An example case of combining 3dTrackID's tractography and 3dMVM's multivariate model testing to investigate the relations of WM properties (here, FA) and subject test scores (here, CASI values) while controlling for other factors. The investigation is first made at the network level, and, if significant relations are observed, one follows up using post hoc GLMs for the same model for each ROI. This procedure may equivalently be performed using 3dNetCorr's functional connectivity estimates between pairs of targets in a network in place of tractography.



# 



# b. User-defined model(s) 'measure1 ~ var1 + var2 + var3\*var4 + ...' 'measure2 ~ var1 + var2 + var3\*var4 + ...' ...

# E. Network-level statistics for each model ANOVA table of $\chi^2$ , DF, and p-value:

## F. Set of ROI statistics for each model

Post hoc table of value, t-stat, DF and 2-sided p:

FIGURE 8: A schematic for integrating MRI-based connectivity and group characteristic data in network-based analyses using multivariate statistics with FATCAT and 3dMVM.

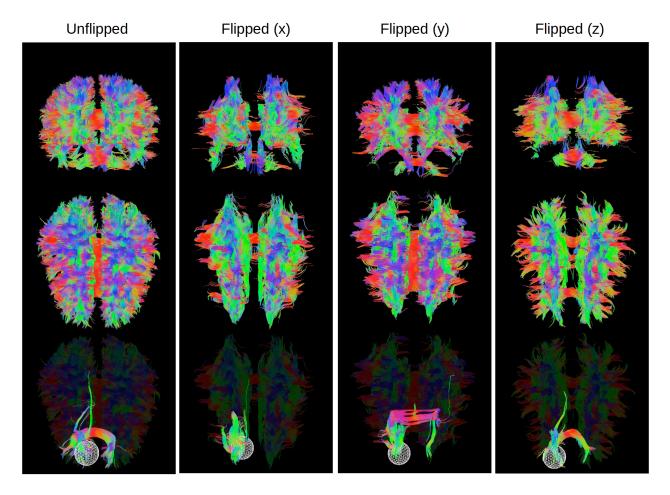


FIGURE A1: Effects of not correcting recorded gradient vectors by "flipping" the sign of an affected component. Whole brain tractographic reconstructions are shown (from the left) for corrected gradients, and gradients with alternately uncorrected *x*-, *y*- and *z*-components. Views in descending order: coronal from front, axial from above and the latter repeated with a spherical selection mask.