

Handling Relatedness among Subjects through Linear Mixed-Effects Modeling in Neuroimaging

Poster #: 623



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Relatedness among subjects

Subjects from large studies (e.g., ABCD)

- Some subjects are from same families
 - Siblings, monozygotic or dizygotic twins, triplets, half siblings, cousins
 - Parent-child
- How to accurately characterize the relatedness in the population-level model?

Model formulation

- Effect decomposition through linear mixed-effects model
- Relatedness captured through a two-level structure
 - Families
 - Subjects within each family

Demo dataset

Data structure: 3 groups

- Group 1 (controls with no relatives): $n_1=32$, age 50.2 ± 11.0 years, 20 F/12 M
- Group 2 (patients): $n_2=21$, age 56.2 ± 15.8 years, 19 F/2 M
- Group 3 (controls with relatives in Group 2): $n_3=21$, 48.6 ± 16.2 years; 17 F/4 M

Relatedness

- Each subject in group 2: genetically related to one in group 3
- Relationship: 10 parent-child, 7 full siblings, 3 cousins, 1 great nephew

Model construction

LME formulation (Bates et al., 2015)

$$y_{ij} = \mathbf{x}_{ij}^T \mathbf{a} + z_{0j} + z_{1j} u_{ij} + \varepsilon_{ij}$$

- y_{ij} : effect of subject i in family j
- \mathbf{x}_{ij} : subject-level covariates (e.g., group, sex, age)
- \mathbf{a} : effects associated with the covariates \mathbf{x}_{ij}
- z_{0j} : effect for family j
- z_{1j} : relatedness effect with family j
- u_{ij} : relatedness indicator
- ε_{ij} : residual associated subject i in family j

Assumptions

- Linearity
- Gaussianity: $z_{0j} \sim N(0, \tau_0^2)$, $z_{1j} \sim N(0, \tau_1^2)$, $\varepsilon_{ij} \sim N(0, \sigma^2)$

References

Bates, D., Maechler, M., Bolker, B., Walker, S. (2015). Fitting Linear Mixed-Effects Models Using lme4. Journal of Statistical Software, 67(1):1-48.
 Chen, G., Saad, Z.S., Britton, J.C., Pine, D.S., Cox, R.W. (2013). Linear Mixed-Effects Modeling Approach to fMRI Group Analysis. NeuroImage 73:176-190.

Solving LME model

Whole-brain voxel-wise analysis

- Program available in AFNI: **3dLME+** (Chen et al., 2013)
- Implementation using R package lme4 (Bates et al., 2015)
- Shell scripting interface
- Flexibility in specifying various random-effects structures
- Main effects, interactions and effect comparisons
- Runtime with demo dataset: 40 min (16 CPUs)

Characterization of relatedness

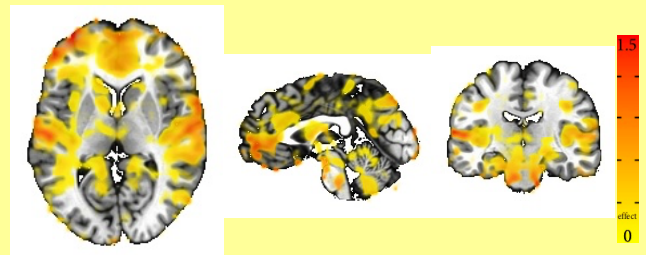
- Intraclass correlation
- Extent of similarity between two members in the same family

$$ICC = \frac{\tau_0^2 + \tau_1^2}{\tau_0^2 + \tau_1^2 + \sigma^2}$$

Results

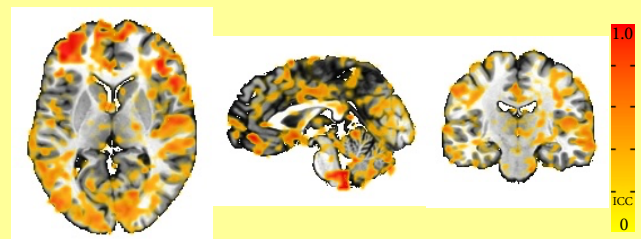
Comparison: Group 2 (patients) vs Group 1 (controls with no relatives)

- Effects shown instead of statistical evidence as typically practiced
- Full results shown without artificial and arbitrary thresholding
- Avoiding illusory dichotomization of "truth" and "falsehood"



Relatedness in the brain: ICC

- Extent of effect similarity between Group 2 and Group 3



Program available in AFNI: **3dLME+**

Acknowledgements

The research was supported by the NIMH & NINDS Intramural Research Programs of the NIH.